

FORM PTO-1390 (Modified)
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

11216/002001

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/403262

INTERNATIONAL APPLICATION NO.
PCT/DE98/01070INTERNATIONAL FILING DATE
15 April 1998PRIORITY DATE CLAIMED
15 April 1997

TITLE OF INVENTION

PLANTS WITH CONTROLLED SIDE-SHOOT FORMATION AND/OR CONTROLLED ABSCISSION ZONE
FORMATION

APPLICANT(S) FOR DO/EO/US

THERES, Nikolaus

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Certificate of Mailing by Express Mail
19. ☒ Other items or information: Sequence Listing; diskette; postcard

"Express Mail" label number : EL266438755US

Date of Deposit : 10/15/ 1999

I hereby certify that under 37 CFR 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office To Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kevin Donnelly
Kevin Donnelly

U.S. APPLICATION NO. (IF KNOWN) SET 37 CFR
09/403262INTERNATIONAL APPLICATION NO.
PCT/DE98/01070ATTORNEY'S DOCKET NUMBER
11216/002001

20. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☒ Search Report has been prepared by the EPO or JPO **\$840.00**
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) **\$670.00**
- ☐ No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) **\$760.00**
- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$970.00**
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) **\$96.00**

ENTER APPROPRIATE BASIC FEE AMOUNT =**\$840.00**Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).**\$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	22 - 20 =	2	x \$22.00
Independent claims	2 - 3 =	0	x \$82.00
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>

\$44.00**\$0.00****\$0.00****TOTAL OF ABOVE CALCULATIONS =****\$884.00**Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐**\$0.00****SUBTOTAL =****\$884.00**Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).**\$0.00****TOTAL NATIONAL FEE =****\$884.00**Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐**\$0.00****TOTAL FEES ENCLOSED =****\$884.00**

Amount to be:	\$
refunded	
charged	\$

- ☒ A check in the amount of **\$884.00** to cover the above fees is enclosed.
- ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **06-1050** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

J. Peter Fasse
Fish & Richardson PC
225 Franklin Street
Boston, Massachusetts 02110

SIGNATURE

J. Peter FASSE

NAME

32,983

REGISTRATION NUMBER

DATE

15-10-99

USA

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b))—INDEPENDENT INVENTOR	Docket Number (Optional)																		
<p>Applicant, Patentee, or Identifier: <u>Nikolaus THERES</u></p> <p>Application or Patent No.: <u>PCT/DE98/01070</u></p> <p>Filed or Issued: <u>April 15, 1998</u></p> <p>Title: <u>PLANTS WITH CONTROLLED SIDE-SHOOT FORMATION AND/OR CONTROLLED ABSCISSION ZONE FORMATION</u></p> <p>As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:</p> <p><input type="checkbox"/> the specification filed herewith with title as listed above.</p> <p><input checked="" type="checkbox"/> the application identified above. International Appln. No. PCT/DE98/01070 filed on April 15, 1998</p> <p><input type="checkbox"/> the patent identified above.</p> <p>I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).</p> <p>Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:</p> <p><input type="checkbox"/> No such person, concern, or organization exists.</p> <p><input type="checkbox"/> Each such person, concern, or organization is listed below.</p> <p>NAME: <u>Nikolaus THERES</u></p> <p>ADDRESS: <u>Schiffgesweg 30, D-50259 Pulheim, Germany</u></p> <p>Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)</p> <p>I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))</p> <table style="width: 100%; margin-top: 20px;"><tr><td style="width: 33%;"><u>Nikolaus THERES</u></td><td style="width: 33%;"></td><td style="width: 33%;"></td></tr><tr><td>NAME OF INVENTOR</td><td>NAME OF INVENTOR</td><td>NAME OF INVENTOR</td></tr><tr><td><input checked="" type="checkbox"/> <u>N. Theres</u></td><td></td><td></td></tr><tr><td>Signature of inventor</td><td>Signature of inventor</td><td>Signature of inventor</td></tr><tr><td><input checked="" type="checkbox"/> <u>09/29/99</u></td><td></td><td></td></tr><tr><td>Date</td><td>Date</td><td>Date</td></tr></table>		<u>Nikolaus THERES</u>			NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR	<input checked="" type="checkbox"/> <u>N. Theres</u>			Signature of inventor	Signature of inventor	Signature of inventor	<input checked="" type="checkbox"/> <u>09/29/99</u>			Date	Date	Date
<u>Nikolaus THERES</u>																			
NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR																	
<input checked="" type="checkbox"/> <u>N. Theres</u>																			
Signature of inventor	Signature of inventor	Signature of inventor																	
<input checked="" type="checkbox"/> <u>09/29/99</u>																			
Date	Date	Date																	

**PLANTS WITH CONTROLLED SIDE-SHOOT FORMATION
AND/OR ABSCISSION ZONE FORMATION**

The present invention relates to nucleotide sequences encoding polypeptides
5 which are responsible for controlling side-shoot formation and/or petal formation and/or
abscission zone formation as well as to the polypeptides and amino acid sequences
encoded by said nucleotide sequences. Furthermore, the present invention relates to
plants having controlled side-shoot formation and/or petal formation and/or controlled
formation of abscission zones, wherein the expressible DNA sequence or fragment or
10 derivative thereof responsible for side-shoot formation and/or petal formation and/or
abscission zone formation is integrated in a stable manner into the genome of the plant
cell or the plant tissue. Further, the invention relates to methods for the production of
plants having controlled side-shoot formation and/or petal formation and/or controlled
formation of abscission zones, wherein the expressible DNA sequence or fragment or
15 derivative thereof responsible for side-shoot formation and/or petal formation and/or
abscission zone formation is integrated in a stable manner into the genome of plant cells
or plant tissues and the resulting plant cells or plant tissues are regenerated to form
plants. Moreover, the invention relates to plants and seed stocks of plants, which are
obtainable according to the method of the invention.

20

Technical Background

The performance characteristics of economic and ornamental plants are
considerably determined by their architecture. While the basic structure of a plant
manifests in the embryonic development, the post-embryonic phase is characterized by
25 the activity of apical meristems. Of fundamental importance is the ability of the shoot
apical meristem (SAM) of higher plants to initiate shoot branches and to control their
development. As a result, the habit of a plant and thus an essential performance feature
is characterized by the number, arrangement and developmental intensity of its side-
shoots. The branching of the shoot may occur terminally as well as laterally. The
30 terminal branching in which the SAM is separated into two portions mainly occurs in
lower cormophytes and has been described for only a few flowering plants (Steeves and
Sussex, 1989, Patterns in Plant Development, 2nd Edition, Cambridge University Press,

Cambridge). The lateral branching typical for flowering plants is based on the formation of new shoot apical meristems in the leaf axils, which are derived from SAM cells, the meristemic character of which remains preserved in contrast to surrounding cells which are involved in the development of leaf primordia. In the further course of development, a side bud is formed from said residual meristems, which besides some leaf primordia contains an apical meristem, the activity of which is subject to the control by the main shoot SAM.

The analysis of plant mutants revealed that branching of the shoot system is controlled by genetic factors. Thus, in tomato (*Lycopersicon esculentum*) for example, there have been described a number of mutants, the side-shoot formation of which is inhibited in different stages (e.g. *blind*, *blind-2*, *torosa*, *lateral suppressor*). A morphological characterization showed that the production of axil buds is disturbed in the tomato mutants *blind*, *blind-2* and *torosa* (Tucker, 1979, Ann. Bot. 43: 571-577; Mapelli and Lombardi, 1982, Plant & Cell Physiol. 23: 751-757). In contrast, in plants which are homozygous for recessive *lateral suppressor* (*ls*) mutation, the initiation of most of the side buds does not occur (Brown, 1955, Rep. Tomato Genetics Cooperative 5: 6-7). A histological analysis (Malayer and Guard, 1964, Amer. Jour. Bot. 51: 140-143) shows that cells directly derived from SAM in the axils of the leaf primordia, on the meristemic activity of which the formation of side shoots is based, are missing in the *lateral suppressor* mutant. If a lack of side shoots in all leaf axils results in a termination of the shoot axis in the first inflorescence, the transition to floral development shows that the ability to establish axil meristems is not completely lost in the mutant. In the axil of the leaf primordium established directly before the inflorescence a meristem often is established in homozygous *ls* mutants as well. The establishment of this meristem which is necessary for the sympodial structure of the shoot axis is often associated by the formation of a side bud in the axil of the next older leaf. Following the transition to the floral phase, the development of the *ls* mutant is characterized by a smaller number of flowers per inflorescence (Williams, 1960, Heredity, 14: 285-296), the missing establishment of petal primordia (Szymkowiak and Sussex, 1993, Plant J., 4: 1-7) and an aberrant number of stamens and carpels (Groot *et al.*, 1994, Sci. Hort., 59: 157-162). Furthermore, a reduced fertility in the mutant is observed, which also

results in the reduction of yield and which is the reason that the *ls* mutant did not reach any significance for yield-oriented cultivation.

A further phenotypic change of the *ls* mutant relates to the formation of abscission zones in the flower and fruit stems. While wild type plants have a region of
 5 5-10 layers of smaller cells, at the distal ends of which the non-pollinated flower or the ripe fruit comes off the plant (Roberts *et al.*, 1984, *Planta*, 160: 159-163), this abscission zone is not formed in the *ls* mutant and during harvest the fruit comes off the plant without residues of the fruit stem and sepals.

The observed phenotypic changes are correlated with disorders in the equilibria
 10 of particular plant hormones on a physiological level. In comparison with the wild type, lower cytokinin concentrations were measured in the shoot tips of *ls* mutants (Maldiney *et al.*, 1986, *Physiol. Plant*, 68: 426-430; Sossountzov *et al.*, 1988, *Planta*, 175: 291-304), while the amounts of β -indolylacetic acid (IAA)-like compounds as well as gibberellic and abscisic acids are markedly increased (Tucker, 1976, *New Phytol.*, 77:
 15 561-568). Attempts to remedy the deficiencies of the *ls* mutant by introducing an isopentenyl transferase gene from *Agrobacterium tumefaciens* resulted in an increase of endogenous cytokinin concentrations, but not in a normalization of the side-shoot development (Groot *et al.*, 1995, *Plant Growth Regulation*, 16, 27-36).

Due to the great interest of breeders in single stem tomato varieties there have
 20 been early efforts to render the *ls* mutant usable for commercial cultivation. Since the DNA sequence of the gene (*Ls* gene) responsible for side-shoot formation and/or petal formation and/or abscission zone formation has so far not been known, it was repeatedly attempted by genetic methods to separate the desired effects on the side-shoot formation from the non-desired effects on fertility and yield. However, up to now none of these
 25 efforts have been successful.

For the isolation of genes which are only characterized by a mutant phenotype and their position on the genetic map, the strategies of insertional mutagenesis and positional cloning have been preferably used during the past years. The insertional mutagenesis uses mutant alleles formed by the insertion of a known sequence for the
 30 isolation of genes which in this manner are labeled on a molecular level. In plants, the T-DNA from *Agrobacterium tumefaciens* (Koncz *et al.*, 1992, *Plant Mol. Biol.*, 20: 963-976) as well as transposable elements (Gierl and Saedler, 1992, *Plant Mol. Biol.*, 19: 39-

49) were used for insertional mutagenesis (Jones *et al.*, 1994, Science 266: 789-793). Since the transposable elements *Ac* and *Ds* from maize preferentially transpose to coupled positions on the same chromosome (Knapp *et al.*, 1994, Mol. Gen. Genet., 243: 666-673) a transposon mutagenesis is particularly promising when a starting line is available in which the transposable element is present in close coupling with the gene of interest. Since such a tomato line is not available, a transposon mutagenesis for the isolation of the *Ls* gene is not very promising.

The strategy for positional cloning was developed for the analysis of the molecular principles of hereditary diseases in mammals and *inter alia* used for the isolation of human genes for Duchenne's muscular dystrophy (Koenig *et al.*, 1987, Cell, 50: 509-517), Cystic Fibrosis (Rommens *et al.*, 1989, Science, 245: 1059-1065) and Huntington's Disease (Huntington's Disease Research Group, 1993, Cell 72: 971-983). Figure 1 schematically illustrates the course of a positional cloning. For this strategy the integration of the classical genetic locus into a map of molecular markers is of fundamental importance. The use of restriction fragment length polymorphisms (RFLPs) as genetic markers (Botstein *et al.*, 1980, Am. J. Hum. Genet., 32: 314-331) enables the identification of closely coupled DNA fragments from the environment of the gene to be isolated. These fragments subsequently serve as hybridizing probes in Southern analysis by means of pulsed field gel electrophoresis (Chu *et al.*, 1986, Science, 234, 1582-1585) of separated high molecular weight DNA to transform the relative genetic distance into an absolute value for the physical distance which has to be bridged by the so-called "chromosome walk". Starting with flanking markers as starting points the environment of the desired gene is isolated in the form of overlapping DNA fragments. Depending on the distance of the flanking markers in the genetic map the DNA fragments are YAC or cosmid clones (Burke *et al.*, 1987, Science, 236: 806-812). RFLP maps with high marker density have been developed by Nam *et al.*, 1989, Plant Cell, 1, 699-705, and Tanksley *et al.*, 1992, Genetics, 132: 1141-1160. Grill and Somerville, 1991, Mol. Gen. Genet., 226: 484-490, and Martin *et al.*, 1992, Mol. Gen. Genet, 233: 25-32, describe the preparation of YAC-libraries.

In the classical genetic map of tomato the *Ls* locus is mapped on the long arm of chromosome 7 (Taylor and Rossall, 1982, Planta, 154: 1-5). Schumacher *et al.*, 1995, Mol. Gen. Genet, 246: 761-766, describe an integration of the *Ls* locus into the RFLP

map, wherein the *Ls* locus was mapped within a 0.8 cM interval near the distal end of chromosome 7. Furthermore, Schumacher *et al.* describe that the *Ls* locus is bounded by the RFLP markers CD61 and CD65. The physical mapping by means of pulsed field gel electrophoresis showed that CD61 and CD65 are not more than 375 kb apart from each other.

With respect to agricultural cultivation the formation of side shoots is not desired in many economic plants due to various reasons:

1. Firstly, the young side shoots are "sink" organs (organs of consumption) and thus reduce the yield of the main shoot.

2. Highly branched shoot systems often represent a hardly surmountable obstacle for mechanical treatment (e.g. harvest with machines).

For these reasons there have been early attempts to cultivate varieties without side shoots in a conventional manner. This has been successful in individual economic plants (e.g. sun flower). However, in many other dicotyledonous economic plants (e.g. tomato, cucumber, apple-tree, pear-tree) the single stem would be desirable, but this has so far not been realized in efficient culture varieties. Also in monocotyledonous economic plants, such as maize and sugar cane, suppression of side shoot formation is advantageous and highly desired for commercial use. At present, the single stem e.g. of tomato is achieved in green house cultivation common in Central and Northern Europe by manually removing the side shoots. Since the removal of the side shoots cannot be done with machines this is associated with enormous costs. Furthermore, at the wound site the plants are very susceptible of infections by pathogens, such as pathogenic bacteria, viruses and fungi. Thus, the removal of side shoots contributes to the spreading of diseases in green house.

In many ornamental plants, however, the additional formation of side shoots and thus an enhanced formation of flowers is desired. Enhanced formation of side shoots is also highly beneficial in many economic plants, such as potato, coffee or tea plant. Thus, there is a need for cost-effective, efficient economic plants and ornamental plants, in which the formation of side shoots is increased or suppressed.

Inhibition of the formation of abscission zones is of interest in a number of plants. Thus, the premature abscission of fruits in citrus plants resulted in losses of yield which could be prevented if no abscission zones were formed. Similar results may be

found in other fruit species, such as cherry, peach or black currant. Further, an inhibition of the formation of abscission zones, e.g. in tomato, is advantageous. If the abscission zones are not formed, the fruit comes off the plant during harvest without residues of the fruit stem and sepals. This feature is desired when tomatoes are harvested with machines and are subsequently processed to products such as tomato puree, since sepals and fruit stems deteriorate the quality of the tomato products.

In ornamental plants, an increased formation of abscission zones may be useful, since flowers would fall off by themselves after fading and there would be no need to remove them manually, such as with many balcony and garden plants. If this does not occur, the formation of new flowers is suppressed.

Short Description of the Invention

Isolation and cloning of the *Ls* gene would offer the possibility to change the activity of said gene in a targeted manner and thus to suppress or increase the formation of side shoots in transgenic plants. Further, one may suppress or increase the formation of abscission zones and/or petals by changing the activity of the *Ls* gene in a targeted manner. Accordingly, the object underlying the present invention is to isolate the *Ls* gene or a DNA fragment containing said gene, determine its sequence and provide a method for the preparation of transgenic plants in which the activity of the *Ls* gene was varied in a targeted manner to suppress or increase the formation of side shoots and/or the formation of abscission zones and/or petals.

The object of the present invention is solved by providing the nucleotide sequences according to SEQ ID NO: 1, 9 or 13 and the nucleotide sequences hybridizing to the nucleotide sequence according to SEQ ID NO: 1, 9 or 13, wherein said nucleotide sequences according to SEQ ID NO: 1, 9 or 13 and said nucleotide sequences hybridizing to the nucleotide sequence according to SEQ ID NO: 1, 9 or 13 encode polypeptides which are responsible for controlling side-shoot formation and/or petal formation and/or abscission zone formation. According to the present invention, the term "hybridization" is directed to conventional hybridization conditions, preferably "hybridization" is directed to such hybridization conditions in which the T_M value is in the range from T_M 45°C to T_M 68°C. The term "hybridization" is particularly preferably

directed to stringent hybridization conditions. The invention further relates to polypeptide and amino acid sequences encoded by said nucleotide sequences.

A further object of the invention is solved by a method for preparing plants having controlled side-shoot formation and/or petal formation and/or abscission zone formation, wherein the expressible DNA sequence or fragment or derivative thereof
5 responsible for controlling side-shoot formation and/or petal formation and/or abscission zone formation is integrated in a stable manner into the genome of plant cells or plant tissues and the resulting plant cells or plant tissues are regenerated to form plants.

10 In the present invention a method is preferred in which the integrated DNA suppresses the side-shoot formation and/or petal formation and/or abscission zone formation. Particularly preferred is a method in which the integrated DNA is expressed in an antisense orientation with respect to the complementary endogenous sequence controlling side-shoot formation and/or petal formation and/or abscission zone
15 formation. Also particularly preferred is a method in which the integrated DNA is expressed in a sense orientation with respect to the complementary endogenous sequence controlling side-shoot formation and/or petal formation and/or abscission zone formation. Furthermore, particularly preferred is a method in which side-shoot formation and/or petal formation and/or abscission zone formation is suppressed by a
20 ribozyme comprising the DNA sequences or fragment or derivative thereof according to the present invention. Particularly preferred is also a method in which the DNA sequences or fragment or derivative thereof according to the invention are used to switch off ("knock-out") the endogenous gene in plants by way of homologous recombination.

25 In the present invention a method is further preferred wherein the DNA integrated into the genome of the plants enhances side-shoot formation and/or petal formation and/or abscission zone formation. Particularly preferred is a method in which the DNA according to the invention is expressed in a sense orientation with respect to the endogenous sequence responsible for side-shoot formation and/or petal formation
30 and/or abscission zone formation.

Particularly preferred is the method according to the invention for the preparation of transgenic tomato, rape, potato or snapdragon plants. Particularly

preferred is also a method according to the present invention for the preparation of transgenic plants, wherein the DNA integrated into the genome of the plants comprises the sequence according to SEQ ID NO: 1, 9 or 13 or fragment or derivative thereof or which is complementary to said sequence or fragment or derivative thereof, or which
 5 hybridizes with the sequence according to SEQ ID NO: 1, 9 or 13 or fragment or derivative thereof and encodes a polypeptide having the biological activity of side-shoot formation and/or petal formation and/or abscission zone formation.

The invention further relates to transformed plant cells or transformed plant tissue, wherein an expressible DNA sequence or fragment or derivative thereof
 10 responsible for controlling side-shoot formation and/or petal formation and/or abscission zone formation is integrated in a stable manner into the genome of the plant cell or plant tissue. Further, the invention relates to plants as well as to seed stocks of plants obtainable according to the method of the present invention.

The invention is further illustrated by the following figures, wherein:

15 Figure 1 schematically shows the course of a positional cloning.

Figure 2 illustrates in (a) a portion of the RFLP map published by Tanksley *et al.*, 1992, Genetics, 132: 1141-1160. In (b) the *Ls* region according to Schumacher *et al.*, 1995, Mol. Gen. Genet., 246: 761-766, is integrated into this map.

Figure 3 shows the mapping of cDNA and cosmid clones from the *Ls* region.
 20 The cosmid clones A, B, C, D, E, F, G and L as well as YAC clone CD61-5 are symbolized by bars. The positions of the cDNA clones c10, c21, y25 and ET are illustrated by open rectangles. The dashed lines represent recombination sites in F2 plants 23, 24, 865 and 945.

Figure 4 shows the autoradiograph of a Southern blot analysis for the detection
 25 of *Ls*-related genes in different plant species. Genomic DNA from tomato (*Lycopersicon esculentum*), potato (*Solanum tuberosum*) and snapdragon (*Antirrhinum majus*) was treated with the restriction enzyme EcoRI and hybridized with the cDNA clone ET.

Figure 5 shows the nucleotide sequence and the amino acid sequence derived therefrom (one letter code) of the *Ls* wild type gene from tomato (*Lycopersicon*
 30 *esculentum*).

Figure 6 shows the nucleotide sequence and amino acid sequence derived therefrom (one letter code) of the *Ls* homologous gene from potato (*Solanum tuberosum*).

Figure 7 shows the nucleotide sequence and the amino acid sequence derived therefrom (one letter code) of a 687 bp DNA fragment of the *Ls* homologous gene from *Arabidopsis thaliana*.

Figure 8 shows an alignment of amino acid sequences of the *Ls* polypeptide from *Arabidopsis thaliana* (LsAt), *Lycopersicon esculentum* (LsLe) and *Solanum tuberosum* (LsSt). The one letter code was used for amino acids. Identical amino acids are shaded in black, similar amino acids are shaded in gray. The dash (-) represents missing sequence information, a dot (.) represents an additional amino acid in a polypeptide. An asterisk (*) represents a stop codon on nucleic acid level.

Detailed Description of the Invention

The method of cloning DNA fragments being several hundreds of kilobases in length as artificial yeast chromosomes (Yeast Artificial Chromosome: YAC) in *Saccharomyces cerevisiae* (Burke *et al.*, 1987, Science, 236: 806-812) enables the transformation of the physical map into a number of overlapping YAC clones spanning the gene to be isolated. From a YAC library of tomato (Martin *et al.*, 1992, Mol. Gen. Genet., 233: 25-32) clones containing the RFLP marker CD61 were isolated. By mapping the YAC terminal fragments with respect to the RFLP markers flanking the *Ls* gene as well as to the recombination break points and to the *Ls* gene itself the position of the isolated DNA fragments in the *Ls* region was determined. Thus, YAC clone CD61-5 was found to hybridize both with CD61 and with CD65 and therefore contains the entire genomic region including the *Ls* gene. Figure 3 schematically illustrates the position of the marker and of the YAC clone.

For identification of coding regions localized within the YAC clone this clone was used as a radiolabeled probe to screen a cDNA library (Simon, 1990, doctoral thesis, University of Cologne, Cologne, Germany). The cDNA library used is made from RNA of both vegetative and floral shoot tips and thus represents expressed genes of the tissues in which the phenotype of the *Ls* mutation manifests itself. A characterization of cDNA clones by cross hybridization revealed that the purified clones

represented a total of 29 different transcripts. The subsequent fine mapping of the cDNA clones relative to the recombination break points in interval CD61-CD65 revealed that only cDNA clone y25 cosegregated with the *Ls* gene and is a possible candidate for said gene. After the establishment of a cosmid contig also cosmid clones were used as probes to isolate further cDNA clones from the CD61-CD65 interval, which in screening with YAC clone CD61-5 as a probe were not detectable due to the high complexity of the probe. In these experiments three additional cDNA clones (c10, c21 and ET) were isolated which also cosegregated with the *Ls* gene and were possible other candidates for the *Ls* gene. Thus, a total of four cDNA clones were identified from the *Ls* region, which were candidates for the *Ls* gene. In Figure 3 said clones are represented by open rectangles.

In order to clone the *Ls* gene together with the promoter sequences necessary for the regulation of expression, the cDNA clone y25 was used as a starting point for the isolation of shorter genomic DNA fragments of the *Ls* region. For this purpose a genomic cosmid library from tomato was established in vector pCLD04541 (Bent *et al.*, 1994, Science, 265: 1856-1860). Said vector contains the T-DNA border sequences necessary for plant transformation and thus allows for an introduction of isolated DNA fragments into plant cells without further cloning steps. From this library a number of overlapping cosmid clones was isolated in several typical cloning steps. Mapping of said cosmid clones relative to the recombination break points in the tested interval showed that the isolated genomic DNA fragments spanned a genomic region of about 60 kb. The position of the cosmid clones is schematically illustrated in Figure 3.

To investigate the question whether a gene from the genomic DNA region isolated as cosmid contig is able to compensate for the biological function for formation of side shoots, petals and abscission zones which is missing in the *ls* mutant (complementation experiment), said *ls* mutant was transformed with the cosmid clones A, B, C, D, E, F, G and L. In all transgenes made by introduction of the cosmids A, B, C, D, E and F, no alteration of the phenotype could be observed. In contrast, in eight independent transgenic plants containing either cosmid G or L a partial or complete recovery of the wild type phenotype could be observed. The results of the complementation experiments are illustrated in Table I.

Cosmid	number of transformed plants	number of complemented plants
pCLDO4541	8	0
A	5	0
B	15	0
C	5	0
D	7	0
E	2	0
F	8	0
G	5	3
L	11	5

Table I: Complementation experiments of *ls* mutant via cosmid transformation

These transgenic plants form side shoots during vegetative development and again petals and abscission zones in the floral development. A Southern blot analysis of transgenic plants containing cosmid G or cosmid L revealed that in plants showing no complementation the T-DNA was only incompletely transferred. Thus, it has been shown that introduced DNA fragments are able to complement the genetic information for formation of side shoots, petals and abscission zones, which is absent from the mutant.

By using complementation experiments with subfragments of cosmid G the DNA region in which the *Ls* gene is localized could be determined in more detail. While following transformation with DNA fragments containing the previously identified gene c21 no complementation of the *ls* phenotype could be observed, the wild type phenotype could be recovered in eight independent transgenic plants by the introduction of an approx. 6 kb fragment bearing the ET gene. A DNA sequence analysis revealed that the ET gene of the *ls*¹ mutant harbours a 1550 bp deletion which removes the first 185 amino acids of the protein and 865 bp of the sequence which is localized upstream. A second independent mutant allele *ls*² contains a 3 bp insertion and several point mutations in a short DNA portion, one of which results in a termination of the protein after 24 amino acids. The complementation experiments and isolation and mapping of

the cDNAs as well as the sequence analyses of the ET gene from the wild type and two independent *Ls* alleles revealed that the cDNA clone ET represents the entire coding sequence of the mRNA of the *Ls* gene.

To address the question whether similar or homologous genes are present also in other plant species the cDNA clone ET was employed as hybridization probe in Southern experiments under reduced stringency. The term "plant", as used herein, comprises monocotyledonous and dicotyledonous economic and ornamental plants. The term "reduced stringency", as used herein, is directed to typical hybridization conditions with the modification that hybridization temperature was between 50°C and 55°C. In potato (*Solanum tuberosum*) and snapdragon (*Antirrhinum majus*) several DNA fragments could be detected. From snapdragon several genomic clones were isolated by hybridization at 55°C. A DNA sequence analysis revealed that the isolated snapdragon clone has significant sequence homologies to the *Ls* gene. Thus, genes homologous to the tomato *Ls* gene may be isolated according to conventional methods by using the cDNA clone ET as a probe. Using gene specific primers the *Ls* homologous gene was isolated from genomic DNA of potato (*Solanum tuberosum*) via PCR. The *Ls* homologous gene from potato shows a sequence identity of approx. 98% to the *Ls* gene of tomato on the DNA level as well as on the protein level. From genomic DNA of *Arabidopsis* (*Arabidopsis thaliana*) a 687 bp DNA fragment of the *Ls* homologous gene was isolated via PCR using degenerate primers. On DNA level the *Arabidopsis thaliana* DNA fragment exhibits a sequence identity of about 63% to the tomato *Ls* gene. On protein level about 55% of the amino acids are identical.

The present invention is further directed to DNA sequences which are derived from a plant genome and code for a protein necessary for controlling side-shoot formation and/or petal formation and/or formation of abscission zones. Upon introduction and expression in plant cells the information contained in the nucleotide sequence results in the formation of a ribonucleic acid. By means of said ribonucleic acid a protein activity may be introduced into the cells or an endogenous protein activity may be suppressed. Particularly preferred is a DNA sequence according to SEQ ID NO: 1 from *Lycopersicon esculentum* shown in Figure 5, a DNA sequence according to SEQ ID NO: 9 from *Solanum tuberosum* shown in Figure 6 and a DNA sequence according to SEQ ID NO: 13 from *Arabidopsis thaliana* shown in Figure 7.

Moreover, the present invention relates to the use of the DNA sequences or fragments or derivatives according to the present invention which are derived from said DNA sequences by insertion, deletion or substitution in the transformation of plant cells. The DNA sequences according to the present invention may be employed using
5 different methods to suppress the formation of side-shoots and thus of branches of the shoot system and/or petals and/or abscission zones:

1. To suppress the formation of side-shoots and/or petals and/or abscission zones the DNA sequence according to the present invention may be cloned in an antisense or a sense orientation into conventional vectors (e.g. plasmids) and thus
10 combined with control elements for expression in plant cells, such as promoters and terminators. By using the prepared vectors, plant cells may be transformed with the aim to prevent the synthesis of the endogenous protein. For this purpose, shorter parts of the DNA sequence according to the invention, i.e. fragments, or DNA sequences having a sequence similarity of from 50% to 100%, i.e. derivatives, may also be used. Thus, the
15 *Ls* homologous gene isolated from *Arabidopsis* may be employed for example to suppress the formation of side-shoots and thus of branches of the shoot system and/or petals and/or abscission zones in the related species *Brassica napus* (rape). The targeted suppression of a genetic activity in plant cells by the introduction of antisense or sense constructs is a common method which has been successfully employed in many cases
20 (Gray *et al.*, 1992, Plant. Mol. Biol., 19: 69-87).

2. Furthermore, the formation of side shoots and/or petals and/or abscission zones may be inhibited by expressing a ribozyme constructed for this purpose using the DNA sequences according to the present invention. Preparation and use of ribozymes are disclosed in de Feyter *et al.*, 1996, Mol. Gen. Genet., 250: 329-338 for tobacco
25 mosaic virus resistant tomato and tobacco plants.

3. Furthermore, the DNA sequence according to the present invention may be used to inactivate the endogenous gene. By using the DNA sequences of the present invention oligonucleotides may be synthesized to test plants in the context of mutagenesis experiments by means of PCR technique for the presence of insertions (e.g.
30 transposable elements or T-DNA from *Agrobacterium tumefaciens*) in the *Ls* gene. Generally, the genetic activity will be blocked by such insertions (Koes *et al.*, 1995, Proc. Natl. Acad. Sci. USA, 92: 8149-8153).

4. The DNA sequence according to the invention may be also employed to switch off ("knock-out") the endogenous *Ls* gene by means of homologous recombination. This method was successfully employed in mice and is also described for use in plants by Miao and Lam, 1995, Plant. J., 7, 359-365.

5 In contrast to tomato and other economic plants, in ornamental plants (e.g. geraniums, fuchsias and chrysanthemums) phenotypes are often preferred which exhibit a bushy growth due to a strong development of the side shoots. In order to generate said growth forms today, the plants are either decapitated, which promotes the initiation of side axes, or are treated with particular chemicals. However, said practice is also
10 associated with considerable costs. In these cases, the preparation of transgenic plants having bushy growth forms according to the present invention represents a more cost-effective alternative.

In ornamental plants an enhanced formation of abscission zones may be used such that after fading the flowers fall off by themselves and must not be manually removed as
15 with many balcony and garden plants. If this does not occur, the formation of new flowers often is suppressed.

For the preparation of transgenic plants with strong side-shoot formation and/or abscission zone formation the DNA sequence or fragment or derivative thereof according to the invention which is derived from said sequence by insertion, deletion or
20 substitution, is introduced into plasmids in a sense orientation and combined with control elements for expression in plant cells. Using said plasmids plant cells may be transformed such that a translatable messenger ribonucleic acid (mRNA) is expressed which enables the synthesis of a protein stimulating the formation and development of side shoots and/or petals and/or abscission zones.

25 The DNA sequence or fragments or derivatives thereof according to the present invention which are derived from said sequence by insertion, deletion or substitution may be used to isolate homologous or similar DNA sequences from the genome of tomato or other plants, which DNA sequences influence the formation of side shoots and/or petals and/or abscission zones as well. For this purpose the DNA sequence or
30 fragments, e.g. oligonucleotides, or derivatives according to the present invention may be employed as probe molecules to screen cDNA libraries or genomic DNA libraries of the plants to be screened according to conventional methods. Alternatively, degenerated

or non-degenerated oligonucleotides (primers) may be derived from the sequence according to the present invention, which may be used to screen said cDNA libraries or genomic DNA libraries on a PCR basis. Similar to the DNA sequences according to the present invention, the thus isolated related DNA sequences may be employed for inhibition or stimulation of side-shoot formation and/or petal formation and/or abscission zone formation in plants.

For expression of the DNA sequences according to the present invention in sense or antisense orientation in plant cells on the one hand transcription promoters and on the other hand transcription terminators are necessary. A great number of promoters and terminators have been described in the literature (e.g. Köster-Töpfer *et al.*, 1989, Mol. Gen. Genet., 219: 390-6; Rocha-Sosa *et al.*, 1989, EMBO J., 8: 23-29). The transcriptional initiation and termination regions may be derived either from the host plant or from a heterologous organism. The DNA sequences of the transcription initiation and transcription termination regions may be prepared synthetically or obtained naturally or may contain a mixture of synthetic and natural DNA components.

Methods for genetic modification have been described for dicotyledonous and monocotyledonous plants (Gasser and Fraley, 1989, Science 244: 1293-1299; Potrykus, 1991, Ann. Rev. Plant. Mol. Biol. Plant. Physiol., 42: 205-226). In addition to the transformation by means of *Agrobacterium tumefaciens* (Hoekema, 1983, Nature, 303: 179-180; Filatti *et al.*, 1987, Biotech, 5:726-730), DNA may be introduced by transformation of protoplasts, microinjection, electroporation or ballistic methods into plant cells. For selection of transformed plant cells the DNA to be introduced is coupled with a selection marker which imparts resistance against antibiotics (e.g. kanamycin, hygromycin, bleomycin) to the cells. From the transformed plant cells whole plants may then be regenerated in a typical selection medium. Regeneration of plant cells is described for example in EP-B-0 242 236, which is incorporated herein by special reference. The plants thus obtained are tested for the presence and intactness of the introduced DNA by means of conventional molecular biological methods. Once the introduced DNA is integrated into the genome, it is generally stable and is transmitted to the offspring. By using conventional methods seed stocks may be obtained from the resulting plants.

The following examples are meant to illustrate the present invention and are not construed to be limiting. If not mentioned otherwise, molecular biological standard procedures were used, as described by Sambrook *et al.*, 1989, Molecular Cloning: A Laboratory Manual, 2nd Edition, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York. Southern hybridizations were carried out in 6 x SSPE (0.9 M NaCl, 50 mM NaH₂PO₄ x H₂O, 5 mM EDTA, 0.1% BSA, 0.1% Ficoll, 0.1% PVP, 0.5% SDS, 100 µg/ml of calf thymus DNA) with a Hybond N+ membrane (Amersham). Plaque hybridizations were performed in 6 x SSPE (1.08 M NaCl, 60 mM NaH₂PO₄ x H₂O, 6 mM EDTA, 0.1% BSA, 0.1% Ficoll, 0.1% PVP, 0.1% SDS, 200 µg/ml of calf thymus DNA) with a Hybond N+ membrane (Amersham).

Example 1

Isolation of YAC clones from the *Ls* region of tomato

From a tomato YAC library (Martin *et al.*, 1992, Mol. Gen. Genet., 233: 25-32) clones were isolated containing CD61 marker (Schumacher *et al.*, 1995, Mol. Gen. Genet., 246: 761-766). For this, DNA mixtures which were derived from a microtiter plate with 96 YAC clones were first tested by using the conventional PCR method. Thus, from 144 of such DNA mixtures nine could be identified which yielded a PCR product with the CD61-F and CD61-R primers (Schumacher *et al.*, 1995, Mol. Gen. Genet., 246: 761-766). The isolation of single clones was carried out by means of colony hybridization or PCR, wherein the DNA of clones of a row or column of a microtiter plate was used as a mixture. Thus, from 96 clones of a plate single clones were identified using 20 PCR reactions. In total, five YAC clones were identified, the insert size of which was determined to be 280 – 320 kb by pulsed field gel electrophoresis (Chu *et al.*, 1986, Science, 234: 1582-1585). It was shown in PCR and Southern experiments that YAC CD61-5, in addition to CD61, also carried the second flanking marker CD65 and thus spanned the *Ls* locus.

Example 2

Isolation of cDNA clones of the *Ls* region from tomato

For preparation of a hybridization probe DNA from the YAC clone CD61-5 was isolated following separation by means of pulsed field gel electrophoresis. However,

separation on said pulsed field gel only allowed for a relatively rough preparation, such that the probe used, in addition to the YAC clone CD61-5, also contained portions of the DNA from yeast chromosome III (360 kb) and VI (280 kb). Following radio-labeling said DNA was used as a probe to screen 5×10^5 pfu (plaque forming units) in a conventional plaque hybridization. Hybridization with the YAC probe provided a plurality of signals of different intensity. For rescreening 50 plaques of different signal intensities were selected and 44 purified clones could then be grouped by means of cross hybridization. 23 of 44 clones which resulted from rescreening were present only once. In total, 29 different transcripts were identified in this screening. Following establishment of a cosmid contig the cDNA library was again screened with the cosmid clones to isolate additional cDNA clones which were not detectable in screening with YAC61-5 as a probe due to the high complexity of the probe. In these experiments, three additional cDNA clones were isolated. In total 32 different transcripts were detected.

Example 3

RFLP mapping of isolated cDNA clones from tomato

Of 30 identified transcripts 22 showed typical hybridization patterns for single or low-copy sequences which enabled RFLP mapping. In a first RFLP analysis the isolated cDNA clones were hybridized against filters which carried DNA from *L. esculentum*, *L. pennellii* as well as from the back crossing line IL83 digested with the restriction endonuclease enzymes EcoRI, EcoRV and XbaI (Eshed *et al.*, 1992, Theor. Appl. Genet., 83: 1027-1034). This line, in which the distal terminus of chromosome 7 is derived from *L. pennellii* while the rest of the genome is composed of *L. esculentum* chromosomes, enables a first rough mapping in the presence of a polymorphism between *L. esculentum* and *L. pennellii*. If a polymorphous DNA fragment was derived from the *Ls* region, the line IL83 exhibited the *L. pennellii* allele, whereas the *L. esculentum* allele was present for fragments from the remaining genome. In this manner four cDNA clones were identified which were not derived from chromosome 7. Fine mapping of the 18 remaining cDNA clones derived from chromosome 7 was carried out via RFLP analysis of the plants W23 and W24 which contained recombination events in the interval CD61-*Ls* and *Ls*-CD65, respectively. Since in this analysis candidates for

the *Ls* gene in plant W23 exhibited the *L. esculentum* as well as the *L. pennellii* specific fragment, while in plant W24 only the *L. esculentum* specific fragment was present, the cDNA clones were hybridized against filters carrying genomic DNA digested with EcoRI, EcoRV or XbaI of both parental species as well as of both recombinants W23 and W24. In this manner a total of four cDNA clones was identified which cosegregated with the *Ls* gene and thus, were possible candidates for the *Ls* gene.

Example 4

Preparation and screening of a genomic cosmid library of tomato

DNA of the T-DNA/cosmid vector pCLD04541 (Bent *et al.*, 1994, Science, 265: 1856-1860) was isolated according to the protocol of Sambrook *et al.*, 1989, Molecular Cloning: A Laboratory Manual, 2nd Edition, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York, purified via two CsCl gradients and dialyzed against TE for 3 days. The DNA was completely digested with BamHI and subsequently dephosphorylated with alkaline phosphatase to prevent self ligation of the vector. 200 ng of genomic tomato DNA partially digested with MboI and 2 mg of vector DNA were ligated with T4 DNA ligase in 10 ml at 16°C over night. 3 ml of said ligation assay were employed for packaging and transfected into *E. coli* SURE (Stratagene). This assay resulted in 6×10^6 independent recombinant bacteria. Each of 100 plates were plated with 2500 cfu (colony forming units) and rinsed off with 10 ml each of LB medium. In each case a glycerol culture was made from this material and a DNA preparation was carried out. These 100 DNA pools were screened by means of PCR analysis. Positive pools were then subjected to colony filter hybridization to identify positive single clones.

Example 5

Cloning and sequencing of the *Ls* gene from tomato

The insert of the cDNA clone ET which was isolated as a probe in screening of the cDNA library with cosmid G was cut out with EcoRI and cloned into vector pGEM-11Zf(+). The missing 5' terminus of the gene was isolated by means of the RACE technique (Frohman *et al.*, 1988, Proc. Natl. Acad. Sci. USA 85: 8998-9002). Here, starting from an oligonucleotide specifically binding to known regions of the gene, a

DNA complementary to RNA (cDNA) was prepared. Subsequently deoxycytosin nucleotides were attached to the cDNA using terminal transferase. With a second gene specific primer and a primer binding to the polydeoxycytosin tail the 5' end of the cDNA was amplified via PCR and cloned into the plasmid vector pGEM-T. Subsequently the longest of the RACE clones were sequenced. Simultaneously with the analysis of cDNA clone ET subfragments of the respective genomic region of cosmid G were isolated and recloned into the plasmid vectors pGEM-4Z and pSPORTI. Overlapping subfragments were then sequenced. The genomic sequence did not show any difference from the sequence of the cDNA clone, which means that the *Ls* gene does not contain any intron. Moreover, the respective genome regions of both mutants *ls*¹ and *ls*² were amplified from the genomic DNAs via PCR using suitable primers and cloned into the pGEM-T vector. Sequence analysis of said products exhibited a deletion of 1.5 kb in the *ls*¹ allele compared to the wild type sequence. Besides the loss of nucleotides 1-685 of the open reading frame the *ls*¹ mutant also lacks 865 base pairs of the region located 5' of the open reading frame, which is thought to have a regulatory function (promoter) for expression. Therefore, it may be assumed that the *ls*¹ mutant is no longer able to form a functional protein from the *Ls* gene. In the *ls*² allele an insertion of 3 base pairs as well as 3 base exchanges were found in the 5' region of the open reading frame. One of these base exchanges leads to a stop codon resulting in a termination of the amino acid chain after 24 amino acids. Again a protein without any function is to be assumed. The vectors pGEM-11zf(+), pGEM-4z, pGEM-T were purchased from the company Promega Corp., Madison, U.S.A., vector pSPORTI was purchased from the company Life Technologies, Eggenstein, and used according to the manufacturer's instructions.

Example 6

Transformation of plants with *Ls* cDNA constructs of tomato

Ls cDNA was isolated with gene specific primers CD61-13 (5'-TTAGGGTTTTCACTCCACGC-3'; SEQ ID NO: 3) and CD61-28 (5'-TCCCCTTTTTTTCCTTTCTCTC-3'; SEQ ID NO: 4) by means of the conventional PCR method and cloned into plasmid vector pGEM-4z (GSET8). For preparation of the transformation constructs the *Ls* cDNA was cut off from plasmid GSET8 with SalI/SstI (for sense construct) and XbaI/SstI (for antisense construct) and ligated into the plant

transformation vector pBIR digested with SalI/SstI (sense construct) and XbaI/SstI (antisense construct), respectively (Meissner, 1990, doctoral thesis, University of Cologne, Cologne). In the resulting clones the cDNA is present either in sense or in antisense orientation between promoter and polyadenylation site of the 35S gene of cauliflower mosaic virus. The resulting sense and antisense plasmids were introduced into the *Agrobacterium tumefaciens* strain GV3101 (Koncz and Shell *et al.*, 1986, Mol. Gen. Genet., 204: 383-396) by direct transformation. Subsequently the T-DNAs of the two different constructs were transformed into leaf pieces of tomato and tobacco according to Fillatti *et al.*, 1987, Biotech, 5: 726-730. Different transgenic plants containing the *Ls* antisense construct show a reduction of side-shoot formation

Example 7

Isolation of a *Ls* related gene from snapdragon (*Antirrhinum majus*)

With cDNA clone ET as a probe a genomic phage library from *Antirrhinum majus* was screened. Hybridization was carried out at 55°C, i.e. under reduced stringency. In this experiment 14 clones were isolated, clone HH13 of which showing the strongest hybridization signals was further characterized. The sequence analysis carried out following recloning the phage insert into the plasmid vector pGEM-11zf(+) showed that the isolated *Antirrhinum majus* gene has high sequence homology to the *Ls* gene from tomato. Within both sequences regions could be identified, in which the derived amino acid sequence is totally conserved.

Example 8

Isolation of an *Ls* related gene from potato (*Solanum tuberosum*)

In a Southern blot experiment under reduced stringency at 55°C using cDNA of the *Ls* gene as a hybridization probe, a DNA fragment could be detected in genomic DNA from *Solanum tuberosum* (Fig. 4). Using gene specific primers CD61-24 (5'-TTTCCCACTCAAGCCAACTC-3'; SEQ ID NO: 5), CD61-6 (5'-GGTGGCAATGTAGCTTCCAG-3'; SEQ ID NO: 6), PO1 (5'-TCGAGGCGTTGGATTATTATAC-3'; SEQ ID NO: 7) and PO5 (5'-GGCCCCCATATCTTTTCC-3'; SEQ ID NO: 8) from *Ls* gene overlapping genomic DNA fragments were isolated from conventionally isolated DNA from *Solanum*

tuberosum by using the PCR method. The PCR reactions were carried out as follows: Denaturation at 95°C for 30 seconds, annealing at 60°C for 1 minute, elongation at 72°C for 2 minutes. This cycle was repeated 30 times. The resulting PCR products were cloned into the plasmid vector pGEM-T. A sequence analysis revealed that the isolated DNA fragments from *Solanum tuberosum* bear the sequence information for an open reading frame having a coding capacity of 431 amino acids (Fig. 6). The DNA sequence is shown in SEQ ID NO: 9 and the amino acid sequence encoded by the DNA sequence is illustrated in SEQ ID NO: 10. On DNA level as well as on protein level the *Ls* homologous gene of potato exhibits a sequence identity of about 98% to the *Ls* gene of tomato.

Example 9

Isolation of an *Ls* related gene from *Arabidopsis thaliana*

For the isolation of the *Ls* homologous gene from *Arabidopsis thaliana* the degenerated primers CD61-38 (5'-CARTGGCCNCCNYTNATGCA-3'; SEQ ID NO: 11)* and CD61-41 (5'-TGRTTYTGCCANCCNARRAA-3'; SEQ ID NO: 12)* were made and used for PCR reactions with genomic DNA from *Arabidopsis thaliana* isolated in a usual manner. The PCR reactions were carried out as follows: Denaturation at 95°C for 30 seconds, annealing at 50°C for 1 minute, elongation at 72°C for 1 minute. This cycle was repeated 35 times. In this manner a DNA fragment of about 700 bp could be amplified which was subsequently cloned into the plasmid vector pGEM-T. A sequence analysis showed that the isolated DNA fragment from *Arabidopsis thaliana* (SEQ ID NO: 13) was 687 bp in length and has a high sequence similarity to the *Ls* gene from *Lycopersicon esculentum*. On the DNA level the *Arabidopsis thaliana* DNA fragment shows a sequence identity of about 63% to the *Ls* gene of tomato. On the protein level about 55% of the amino acids are identical. The amino acid sequence encoded by the isolated DNA fragment (SEQ ID NO: 13) is illustrated in SEQ ID NO: 14. By using the isolated DNA fragment the *Ls* homologous gene from *Arabidopsis thaliana* may be isolated using conventional molecular biological standard methods.

* In the description of the degenerated primers the WIPO standard St. 23 was used:

$$R = A + G$$

$$N = A + G + C + T$$

$$Y = C + T$$

SEQUENCE LISTING

(1) GENERAL INFORMATION:

- (i) APPLICANT:
(A) NAME: Nikolaus (Klaus) Theres
(B) STREET: Schiffgesweg 30
(C) CITY: Pulheim
(D) STATE: NRW
(E) COUNTRY: Germany
(F) POSTAL CODE: 50259
(G) TELEPHONE: + 49 2234 89386

(ii) TITLE OF INVENTION: PLANTS WITH CONTROLLED SIDE-SHOOT FORMATION
AND/OR ABSCISSION ZONE FORMATION

(iii) NUMBER OF SEQUENCES: 14

- (iv) COMPUTER READABLE FORM:
(A) MEDIUM TYPE: Floppy disk
(B) COMPUTER: IBM PC compatible
(C) OPERATING SYSTEM: PC-DOS/MS-DOS
(D) SOFTWARE: PatentIn Release #1.0, Version #1.30 (EPA)

(2) INFORMATION FOR SEQ ID NO: 1:

- (i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 1729 Base pairs
(B) TYPE: Nucleotide
(C) STRANDEDNESS: double
(D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA
- (iii) HYPOTHETIC: NO
- (vi) ORIGINAL SOURCE:
(A) ORGANISM: *Lycopersicon esculentum*

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:

CCTCTGTCCT TCCCCCAGG TCCCCTTTT TTCCTTTCTC TCTCTCCTTT ATTTCTCTTT	60
TCATAAGCAT ATTCTTTCTC TCTCTAGGGT TTCACTTTC ACCTGAAATA GTGTTGTTAA	120
ATTGAATGAT ATGTTAGGAT CCTTTGGTTC TTCATCATCT CAATCTCACC CTCATCATGA	180
TGAAGAATCT TCTGATCATC ATCAACAGCG TAGATTCACC GCTACTGCTA CAACTATCAC	240
CACCACCACC ATCACTACCT CACCAGCTAT TCAAATCCGC CAGCTACTCA TTAGCTGTGC	300
GGAGTTGATT TCGCAGTCCG ATTTCTCGGC CGCGAAAAGA CTCCTTACTA TATTATCAAC	360
TAACTCATCT CCTTTTGGTG ATTCAACTGA ACGGTTAGTC CATCAATTTA CTCGCGCACT	420
TTCCCTTCGT CTCAACCGCT ATATATCGTC AACCACCAAT CATTTTCATGA CACCTGTTGA	480
AACAACTCCA ACTGATTCTT CTTCTTCGTC ATCATTAGCT CTAATTCAAT CATCATATCT	540
ATCTCTAAAC CAAGTTACCC CTTTCATAAG GTTTACTCAA TTAACCGCTA ATCAAGCGAT	600

TTTAGAAGCG ATTAACGGTA ATCATCAAGC AATCCACATC GTTGATTTCG ACATTAATCA 660
 CGGGGTTCAA TGGCCACCGT TAATGCAAGC ACTAGCTGAT CGTTACCTG CTCCCACTCT 720
 TCGAATCACC GGTACTGGAA ATGACCTTGA TACCCTTCGT AGAACAGGTG ATCGTTTAGC 780
 TAAATTTGCT CACTCATTAG GGTTGAGATT TCAATTCCAT CCTCTTTATA TAGCCAATAA 840
 TAACCACGAT CACGATGAAG ATCCTTCTAT TATTCCTCC ATTGTACTAC TCCCTGATGA 900
 AACCCTAGCT ATCAACTGTG TTTTCTACCT CCACCGCCTT TTAAGAGACC GCGAAAAGTT 960
 AAGGATTTTT TTGCATAGGG TTAAGTCAAT GAACCCTAAA ATTGTTACAA TCGCGGAGAA 1020
 GGAAGCAAAT CATAACCATC CTCTTTTTTTT ACAAAGATTC ATCGAGGCGT TGGATTATTA 1080
 TACAGCTGTG TTTGATTAC TGGAAGCTAC ATTGCCACCG GGTAGTCGAG AGAGGATGAC 1140
 AGTTGAACAA GTGTGGTTTG GGAGAGAGAT TGTGATATC GTTGCGATGG AAGGAGATAA 1200
 AAGGAAAGAA AGACATGAAA GGTTTAGATC ATGGGAAGTT ATGTTGAGGA GTTGTGGATT 1260
 TAGTAATGTT GCTTTAAGCC CTTTTCGATT ATCACAAGCT AAGCTTCTTT TGAGACTTCA 1320
 TTATCCTTCT GAAGGCTATC AACTCGGAGT TTCGAGTAAT TCTTCTTCT TAGGTTGGCA 1380
 AAATCAACCC CTTTCTCCA TCTCGTCTTG GCGTTGAGAA AACTATCAA ATAGCCAACT 1440
 TCAGAGGGTA ATTAAGACTA CTGATAGTTT AGGAGGGATC TGAAGAAAAC GCGTGGAGTG 1500
 AAAACCCTAA ATAACCAGAT TTTCTAATGA AGTTGTAGTA GTAGAAATTT GCATGGTGAA 1560
 GAACAATATT GAAGAGGTAT TGAAATTTCA TGTTTTTTTT GTTTTACTTA TTGATATGAA 1620
 TGTTTTAAAA TTTTAAACAT AGAGGACTAG GTTGATGATA TATAGTATTT AAGTTAACTA 1680
 GTCTTTGTAT AACGCAAGAT CTTGATCAAC TTATTTTTAT TTTTAATTA 1729

(2) INFORMATION FOR SEQ ID NO: 2:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 428 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Protein

vi) ORIGINAL SOURCE:

- (A) ORGANISM: *Lycopersicon esculentum*

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

Met	Leu	Gly	Ser	Phe	Gly	Ser	Ser	Ser	Ser	Gln	Ser	His	Pro	His	His
1				5					10					15	
Asp	Glu	Glu	Ser	Ser	Asp	His	His	Gln	Gln	Arg	Arg	Phe	Thr	Ala	Thr
			20					25					30		
Ala	Thr	Thr	Ile	Thr	Thr	Thr	Thr	Ile	Thr	Thr	Ser	Pro	Ala	Ile	Gln
			35				40					45			
Ile	Arg	Gln	Leu	Leu	Ile	Ser	Cys	Ala	Glu	Leu	Ile	Ser	Gln	Ser	Asp
			50			55				60					

Phe 65	Ser	Ala	Ala	Lys 70	Arg	Leu	Leu	Thr	Ile 75	Leu	Ser	Thr	Asn	Ser	Ser 80
Pro	Phe	Gly	Asp	Ser 85	Thr	Glu	Arg	Leu	Val 90	His	Gln	Phe	Thr	Arg 95	Ala
Leu	Ser	Leu	Arg 100	Leu	Asn	Arg	Tyr	Ile 105	Ser	Ser	Thr	Thr	Asn 110	His	Phe
Met	Thr	Pro 115	Val	Glu	Thr	Thr	Pro 120	Thr	Asp	Ser	Ser	Ser 125	Ser	Ser	Ser
Leu	Ala 130	Leu	Ile	Gln	Ser	Ser 135	Tyr	Leu	Ser	Leu	Asn 140	Gln	Val	Thr	Pro
Phe 145	Ile	Arg	Phe	Thr	Gln 150	Leu	Thr	Ala	Asn	Gln 155	Ala	Ile	Leu	Glu	Ala 160
Ile	Asn	Gly	Asn	His 165	Gln	Ala	Ile	His	Ile 170	Val	Asp	Phe	Asp	Ile 175	Asn
His	Gly	Val	Gln 180	Trp	Pro	Pro	Leu	Met 185	Gln	Ala	Leu	Ala	Asp 190	Arg	Tyr
Pro	Ala	Pro 195	Thr	Leu	Arg	Ile	Thr 200	Gly	Thr	Gly	Asn	Asp 205	Leu	Asp	Thr
Leu	Arg 210	Arg	Thr	Gly	Asp	Arg 215	Leu	Ala	Lys	Phe	Ala 220	His	Ser	Leu	Gly
Leu 225	Arg	Phe	Gln	Phe	His 230	Pro	Leu	Tyr	Ile	Ala 235	Asn	Asn	Asn	His	Asp 240
His	Asp	Glu	Asp	Pro 245	Ser	Ile	Ile	Ser	Ser 250	Ile	Val	Leu	Leu	Pro 255	Asp
Glu	Thr	Leu	Ala 260	Ile	Asn	Cys	Val	Phe 265	Tyr	Leu	His	Arg	Leu 270	Leu	Lys
Asp	Arg	Glu 275	Lys	Leu	Arg	Ile	Phe 280	Leu	His	Arg	Val	Lys 285	Ser	Met	Asn
Pro	Lys 290	Ile	Val	Thr	Ile	Ala 295	Glu	Lys	Glu	Ala	Asn 300	His	Asn	His	Pro
Leu 305	Phe	Leu	Gln	Arg	Phe 310	Ile	Glu	Ala	Leu	Asp 315	Tyr	Tyr	Thr	Ala	Val 320
Phe	Asp	Ser	Leu	Glu 325	Ala	Thr	Leu	Pro	Pro 330	Gly	Ser	Arg	Glu	Arg 335	Met
Thr	Val	Glu	Gln 340	Val	Trp	Phe	Gly	Arg 345	Glu	Ile	Val	Asp	Ile 350	Val	Ala
Met	Glu	Gly 355	Asp	Lys	Arg	Lys	Glu 360	Arg	His	Glu	Arg	Phe 365	Arg	Ser	Trp
Glu	Val 370	Met	Leu	Arg	Ser	Cys 375	Gly	Phe	Ser	Asn	Val 380	Ala	Leu	Ser	Pro
Phe 385	Ala	Leu	Ser	Gln	Ala 390	Lys	Leu	Leu	Leu	Arg 395	Leu	His	Tyr	Pro	Ser 400

Glu Gly Tyr Gln Leu Gly Val Ser Ser Asn Ser Phe Phe Leu Gly Trp
405 410 415

Gln Asn Gln Pro Leu Phe Ser Ile Ser Ser Trp Arg
420 425

(2) INFORMATION FOR SEQ ID NO: 3:

- (i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 20 Base pairs
 (B) TYPE: Nucleotide
 (C) STRANDEDNESS: single
 (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: synthetic DNA

(iii) HYPOTHETIC: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

TTAGGGTTT CACTCCACGC

20

(2) INFORMATION FOR SEQ ID NO: 4:

- (i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 22 Base pairs
 (B) TYPE: Nucleotide
 (C) STRANDEDNESS: single
 (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: synthetic DNA

(iii) HYPOTHETIC: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:

TCCCCTTTT TTCCTTCTC TC

22

(2) INFORMATION FOR SEQ ID NO: 5:

- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 20 Base pairs
 - (B) TYPE: Nucleotide
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: synthetic DNA

(iii) HYPOTHETIC: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:

TTTCCCCTC AAGCCAACTC

20

(2) INFORMATION FOR SEQ ID NO: 6:

- (i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 20 Base pairs
 (B) TYPE: Nucleotide
 (C) STRANDEDNESS: single
 (D) TOPOLOGY: linear

20

22

19

120

ACAACGACCT CACCAGCTAT TCAAATCCGC CAGCTACTCA TTAGCTGTGC GGAGTTGATT 180
 TCGCGGTCCG ATTTCTCGGC CGCGAAAAGA CTCCTTACCA TATTATCAAC TAACTCTTCT 240
 CCTTTTGGTG ATTCAACTGA ACGGTTAGTC CATCAGTTTA CTCGCGCACT TTCCCTTCGT 300
 CTCAACCGCT ATATATCGTC AACCACCAAT CATTTTCATGA CACCTGTTGA AACAACTCCA 360
 ACTGATTCTT CATCTTCGTT GCCATCGTCA TCATTAGCTC TAATTCAATC ATCATATCAT 420
 TCTCTAAATC AAGTTACCCC TTTTATAAGG TTTACTCAAT TAACCGCTAA TCAAGCGATT 480
 TTAGAAGCGA TTAACGGTAA TCATCAAGCA ATCCACATCG TTGATTTCGA CATTAAATCAC 540
 GGGGTTCAAT GGCCACCGTT AATGCAAGCA CTAGCTGATC GTTACCCTGC TCCTACTCTT 600
 CGAATCACCG GTACTGGAAA TGACCTTGAT ACCCTTCGTA GAACAGGTGA TCGTTTAGCT 660
 AAATTTGCTC ACTCATTAGG GTTGAGATTT CAATTCCATC CTCTTTATAT CGCCAATAAT 720
 AACCGCGATC ACGGTGAAGA TCCTTCTATT ATTTCTCCA TTGTACTTCT CCCTGATGAA 780
 ACCCTAGCTA TCAACTGTGT TTTCTATCTC CACCGCCTTT TAAAAGACCG CGAAAAATTA 840
 AGGATTTTTT TGCATAGGGT TAAGTCAATG AACCTTAAA TTGTTACAAT CGCGGAGAAG 900
 GAAGCAAATC ATAACCATCC TCTTTTTTTA CAAAGATTTA TCGAGGCGTT GGATTATTAT 960
 ACAGCTGTGT TTGATTCAAT GGAAGCTACA TTGCCACCGG GTAGTCGTGA GAGGATGACA 1020
 GTTGAACAAG TGTGGTTTGG GAGAGAAATT GTTGATATCG TGGCGATGGA AGGAGATAAA 1080
 AGGAAAGAAA GACATGAAAG GTTTAGATCA TGGGAAGTTA TGTTGAGGAG TTGTGGATTT 1140
 AGTAATGTTG CTTTAAGCCC TTTTGCATTA TCACAAGCTA AGCTTCTTTT GAGACTACAT 1200
 TATCCTTCTG AAGGCTATCA ACTCGGAGTT TCGAGTAATT CTTTCTTCTT AGGTTGGCAA 1260
 AATCAACCTC TTTTCTCCAT CTCGTCTTGG CGTTGA 1296

(2) INFORMATION FOR SEQ ID NO: 10:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 431 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Protein

(vi) ORIGINAL SOURCE:

- (A) ORGANISM: *Solanum tuberosum*

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 10:

Met Leu Gly Ser Phe Gly Ser Ser Ser Ser Gln Ser His Pro His His
 1 5 10 15
 Asp Glu Glu Ser Ser Asp His His Gln Arg Arg Arg Phe Thr Ala Thr
 20 25 30
 Thr Thr Thr Ile Thr Thr Thr Thr Thr Thr Thr Ser Pro Ala Ile Gln
 35 40 45

Ile 50	Arg	Gln	Leu	Leu	Ile	Ser 55	Cys	Ala	Glu	Leu	Ile 60	Ser	Arg	Ser	Asp
Phe 65	Ser	Ala	Ala	Lys	Arg 70	Leu	Leu	Thr	Ile	Leu 75	Ser	Thr	Asn	Ser	Ser 80
Pro	Phe	Gly	Asp	Ser 85	Thr	Glu	Arg	Leu	Val 90	His	Gln	Phe	Thr	Arg 95	Ala
Leu	Ser	Leu	Arg 100	Leu	Asn	Arg	Tyr	Ile 105	Ser	Ser	Thr	Thr	Asn 110	His	Phe
Met	Thr	Pro 115	Val	Glu	Thr	Thr	Pro 120	Thr	Asp	Ser	Ser	Ser 125	Ser	Leu	Pro
Ser	Ser 130	Ser	Leu	Ala	Leu	Ile 135	Gln	Ser	Ser	Tyr	His 140	Ser	Leu	Asn	Gln
Val 145	Thr	Pro	Phe	Ile	Arg 150	Phe	Thr	Gln	Leu	Thr 155	Ala	Asn	Gln	Ala	Ile 160
Leu	Glu	Ala	Ile	Asn 165	Gly	Asn	His	Gln	Ala 170	Ile	His	Ile	Val	Asp 175	Phe
Asp	Ile	Asn	His 180	Gly	Val	Gln	Trp	Pro 185	Pro	Leu	Met	Gln	Ala 190	Leu	Ala
Asp	Arg	Tyr 195	Pro	Ala	Pro	Thr	Leu 200	Arg	Ile	Thr	Gly	Thr 205	Gly	Asn	Asp
Leu	Asp 210	Thr	Leu	Arg	Arg	Thr 215	Gly	Asp	Arg	Leu	Ala 220	Lys	Phe	Ala	His
Ser 225	Leu	Gly	Leu	Arg	Phe 230	Gln	Phe	His	Pro	Leu 235	Tyr	Ile	Ala	Asn	Asn 240
Asn	Arg	Asp	His 245	Gly	Glu	Asp	Pro	Ser	Ile 250	Ile	Ser	Ser	Ile	Val 255	Leu
Leu	Pro	Asp	Glu 260	Thr	Leu	Ala	Ile	Asn 265	Cys	Val	Phe	Tyr	Leu 270	His	Arg
Leu	Leu	Lys 275	Asp	Arg	Glu	Lys	Leu 280	Arg	Ile	Phe	Leu	His 285	Arg	Val	Lys
Ser	Met 290	Asn	Pro	Lys	Ile	Val 295	Thr	Ile	Ala	Glu	Lys 300	Glu	Ala	Asn	His
Asn 305	His	Pro	Leu	Phe	Leu 310	Gln	Arg	Phe	Ile	Glu 315	Ala	Leu	Asp	Tyr	Tyr 320
Thr	Ala	Val	Phe	Asp 325	Ser	Leu	Glu	Ala	Thr 330	Leu	Pro	Pro	Gly	Ser 335	Arg
Glu	Arg	Met	Thr 340	Val	Glu	Gln	Val	Trp 345	Phe	Gly	Arg	Glu	Ile	Val	Asp
Ile	Val	Ala 355	Met	Glu	Gly	Asp	Lys 360	Arg	Lys	Glu	Arg	His 365	Glu	Arg	Phe
Arg	Ser 370	Trp	Glu	Val	Met	Leu 375	Arg	Ser	Cys	Gly	Phe 380	Ser	Asn	Val	Ala
Leu 385	Ser	Pro	Phe	Ala	Leu 390	Ser	Gln	Ala	Lys	Leu 395	Leu	Leu	Arg	Leu	His 400

Tyr Pro Ser Glu Gly Tyr Gln Leu Gly Val Ser Ser Asn Ser Phe Phe
 405 410 415
 Leu Gly Trp Gln Asn Gln Pro Leu Phe Ser Ile Ser Ser Trp Arg
 420 425 430

(2) INFORMATION FOR SEQ ID NO: 11:

- (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 20 Base pairs
 - (B) TYPE: Nucleotide
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: synthetic DNA

(iii) HYPOTHETIC: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 11:

CARTGGCCNC CNYTNATGCA

20

(2) INFORMATION FOR SEQ ID NO: 12:

- (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 20 Base pairs
 - (B) TYPE: Nucleotide
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: synthetic DNA

(iii) HYPOTHETIC: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 12:

TGRTTYTGCC ANCCNARRAA

20

(2) INFORMATION FOR SEQ ID NO: 13:

- (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 687 Base pairs
 - (B) TYPE: Nucleotide
 - (C) STRANDEDNESS: double
 - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: cDNA

(iii) HYPOTHETIC: NO

(vi) ORIGINAL SOURCE:

(A) ORGANISM: Arabidopsis thaliana

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 13:

GAGAGGTCAT CAAACCCTAG CAGTCCACCT CCATCTCTCC GCATAACCGG ATGCGGTCGA 60
 GATGTAACCG GATTAAACCG AACTGGAGAC CGGTAAACCC GGTTGCTGA CTCTTTAGGT 120
 CTCCAATTCC AGTTTCACAC GCTAGTGATC GTAGAAGAAG ATCTCGCCGG ACTTTTGCTA 180

CAGATCCGAT TGTTAGCTCT CTCAGCCGTA CAAGGAGAGA CCATTGCCGT CAATTGTGTT 240
 CACTTCCTCC AAAAAATATT TAACGACGAT GGAGATATGA TCGGTCACCT CTTGTCAGCG 300
 ATCAAGAGCT TAAACTCTAG AATCGTTACA ATGGCAGAGA GAGAAGCTAA TCATGGAGAT 360
 CACTCGTTCT TGAATAGATT CTCTGAGGCA GTGGATCATT ACATGGCGAT CTTTGATTCTG 420
 TTGGAAGCGA CGTTGCCGCC AAATAGCCGA GAGAGACTAA CCCTAGAGCA ACGGTGGTTC 480
 GGTAAGGAGA TTTTGGATGT TGTGGCGGCG GAAGAGACGG AGAGAAAGCA AAGACATCGG 540
 AGGTTTGAGA TTTGGGAAGA GATGATGAAG AGGTTTGGTT TCGTTAACGT TCCTATTGGA 600
 AGCTTTGCTT TGTCTCAAGC TAAGCTTCTT CTTAGACTTC ATTATCCTTC AGAAGGTTAT 660
 AATCTTCAGT TCCTTAACAA TTCTTTG 687

(2) INFORMATION FOR SEQ ID NO: 14:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 229 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Protein

(vi) ORIGINAL SOURCE:

- (A) ORGANISM: Arabidopsis thaliana

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 14:

Glu Arg Ser Ser Asn Pro Ser Ser Pro Pro Pro Ser Leu Arg Ile Thr
 1 5 10 15
 Gly Cys Gly Arg Asp Val Thr Gly Leu Asn Arg Thr Gly Asp Arg Leu
 20 25 30
 Thr Arg Phe Ala Asp Ser Leu Gly Leu Gln Phe Gln Phe His Thr Leu
 35 40 45
 Val Ile Val Glu Glu Asp Leu Ala Gly Leu Leu Leu Gln Ile Arg Leu
 50 55 60
 Leu Ala Leu Ser Ala Val Gln Gly Glu Thr Ile Ala Val Asn Cys Val
 65 70 75 80
 His Phe Leu His Lys Ile Phe Asn Asp Asp Gly Asp Met Ile Gly His
 85 90 95
 Phe Leu Ser Ala Ile Lys Ser Leu Asn Ser Arg Ile Val Thr Met Ala
 100 105 110
 Glu Arg Glu Ala Asn His Gly Asp His Ser Phe Leu Asn Arg Phe Ser
 115 120 125
 Glu Ala Val Asp His Tyr Met Ala Ile Phe Asp Ser Leu Glu Ala Thr
 130 135 140
 Leu Pro Pro Asn Ser Arg Glu Arg Leu Thr Leu Glu Gln Arg Trp Phe
 145 150 155 160

[illegible]

CLAIMS

1. A nucleotide sequence according to SEQ ID NO: 1, 9 or 13 which is responsible for controlling side-shoot formation and/or petal formation and/or abscission zone formation, the fragment or derivative thereof or a nucleotide sequence which hybridizes with the nucleotide sequence according to SEQ ID NO: 1, 9 or 13 and which is responsible for controlling side-shoot formation and/or petal formation and/or abscission zone formation.
2. The nucleotide sequence according to claim 1, wherein said hybridizing nucleotide sequence hybridizes to the nucleotide sequence according to SEQ ID NO: 1, 9 or 13 under stringent conditions.
3. A nucleotide sequence as illustrated in SEQ ID NO: 1, 9 or 13.
4. A polypeptide having an amino acid sequence as illustrated in SEQ ID NO: 2, 10 or 14.
5. A vector comprising a nucleotide sequence according to any one of claims 1 to 3.
6. A transformed plant cell or transformed plant tissue, characterized in that an expressible DNA sequence responsible for controlling side-shoot formation and/or petal formation and/or abscission zone formation, or fragment or derivative thereof according to claim 1 or 2 is integrated in a stable manner into the genome of the plant cell or the plant tissue.
7. A plant cell or plant tissue according to claim 6, which may be regenerated into a seed producing plant.
8. A method for the preparation of plants having controlled side-shoot formation and/or petal formation and/or abscission zone formation comprising stable

integration of a least one expressible DNA sequence responsible for controlling side-shoot formation and/or petal formation and/or abscission zone formation or fragment or derivative thereof according to claim 1 or 2 into the genome of plant cells or plant tissues and regeneration of the resulting plant cells or plant tissues into plants.

5

9. The method according to claim 8, wherein for integration a DNA sequence or fragment or derivative thereof is used which suppresses side-shoot formation and/or petal formation and/or abscission zone formation.

10

10. The method according to claim 9, wherein the integrated DNA sequence or fragment or derivative thereof is expressed in an antisense orientation relative to the endogenous sequence responsible for controlling side-shoot formation and/or petal formation and/or abscission zone formation.

15

11. The method according to claim 9, wherein the integrated DNA sequence or fragment or derivative thereof is expressed in a sense orientation relative to the endogenous sequence responsible for controlling side-shoot formation and/or petal formation and/or abscission zone formation.

20

12. The method according to claim 9, wherein the side-shoot formation and/or petal formation and/or abscission zone formation is suppressed by a ribozyme comprising the integrated DNA sequence or fragment or derivative thereof.

25

13. The method according to claim 9, wherein the DNA sequence or fragment or derivative thereof is integrated into the genomic region of the homologous endogenous gene by homologous recombination.

30

14. The method according to claim 8, wherein for integration a DNA sequence or fragment or derivative thereof is used which enhances side-shoot formation and/or petal formation and/or abscission zone formation.

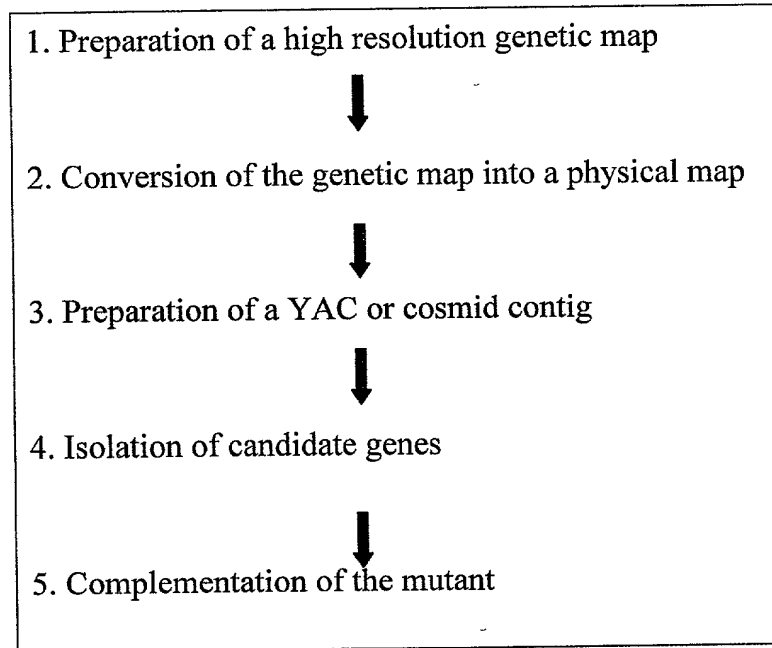
**PLANTS WITH CONTROLLED SIDE-SHOOT FORMATION
AND/OR ABSCISSION ZONE FORMATION**

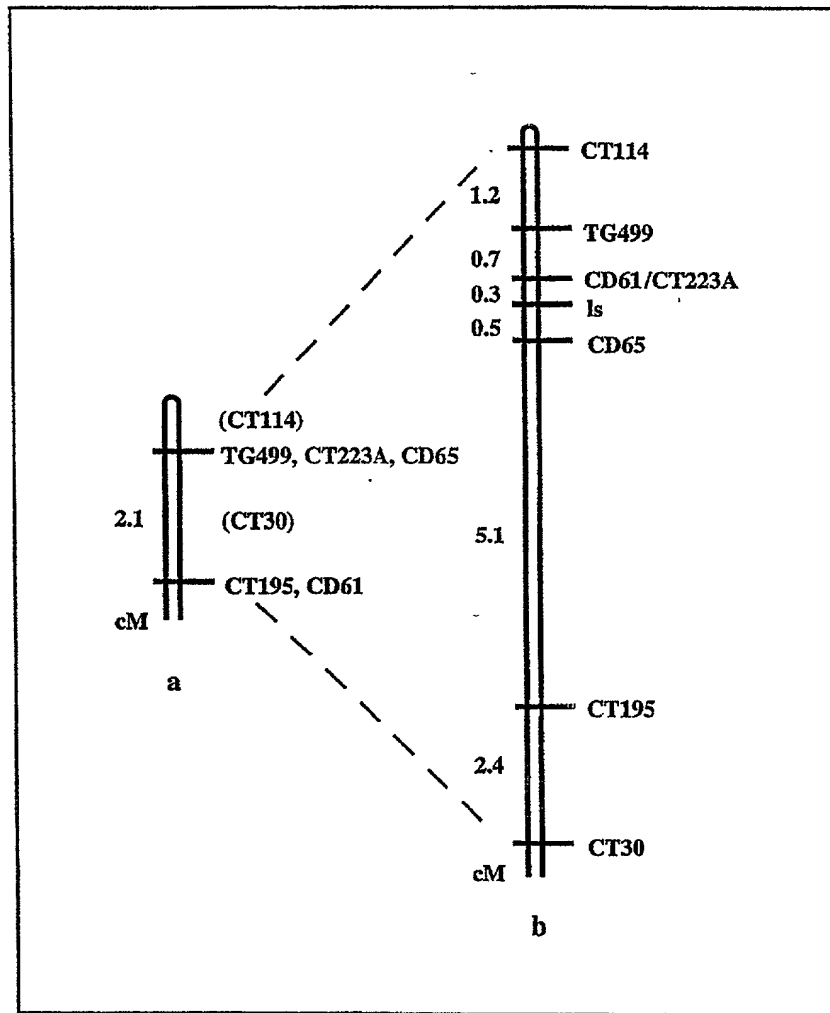
ABSTRACT

5

Disclosed are nucleotide sequences encoding polypeptides which are responsible for controlling side-shoot formation and/or petal formation and/or abscission zone formation as well as to the polypeptides and amino acid sequences encoded by said nucleotide sequences. Disclosed are also plants having controlled side-shoot formation and/or petal formation and/or controlled formation of abscission zones, wherein the expressible DNA sequence or fragment or derivative thereof responsible for side-shoot formation and/or petal formation and/or abscission zone formation is integrated in a stable manner into the genome of the plant cell or the plant tissue. Further disclosed are methods for the production of plants having controlled side-shoot formation and/or petal formation and/or controlled formation of abscission zones, wherein the expressible DNA sequence or fragment or derivative thereof responsible for side-shoot formation and/or petal formation and/or abscission zone formation is integrated in a stable manner into the genome of plant cells or plant tissues and the resulting plant cells or plant tissues are regenerated to form plants. Moreover, the invention relates to plants and seed stocks of plants, which are obtainable according to the method of the invention.

1/10

**Fig. 1**

**Fig. 2**

3/10

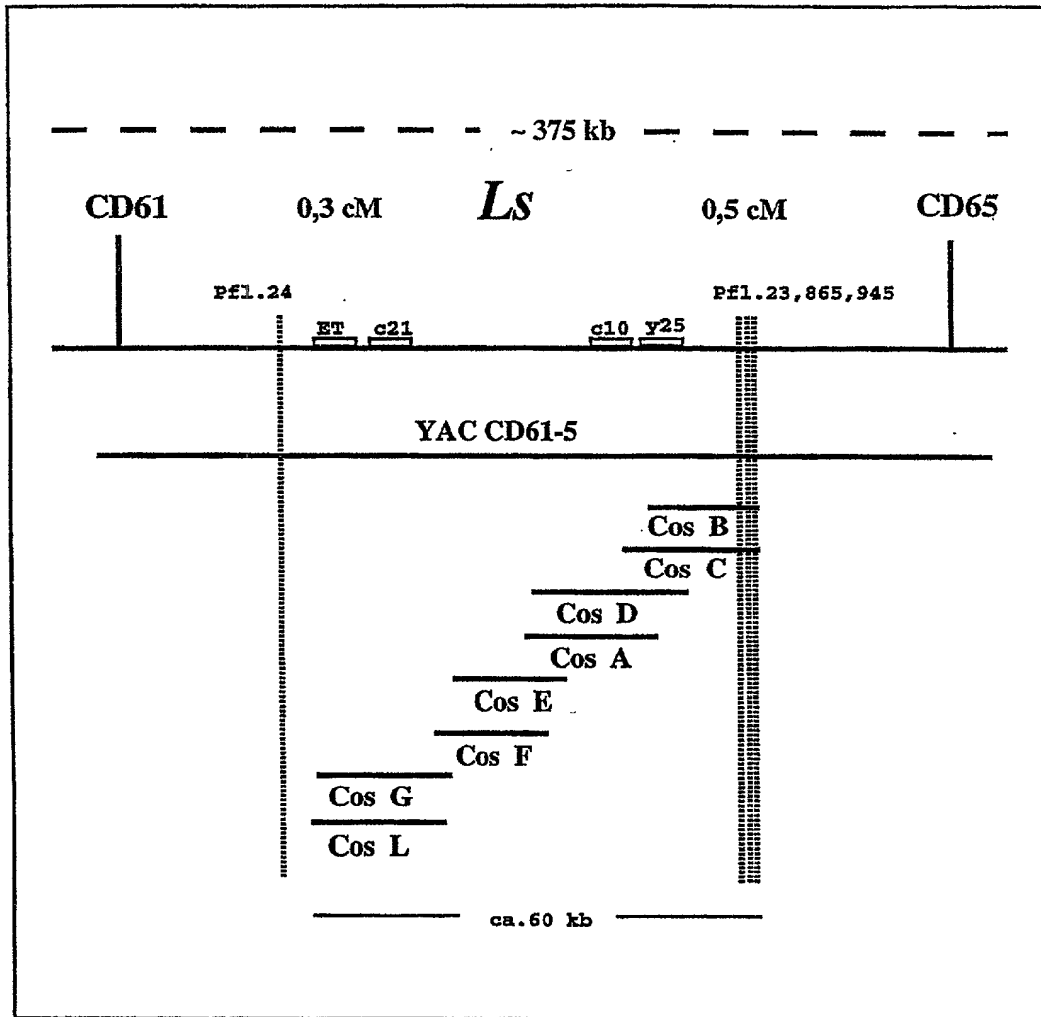
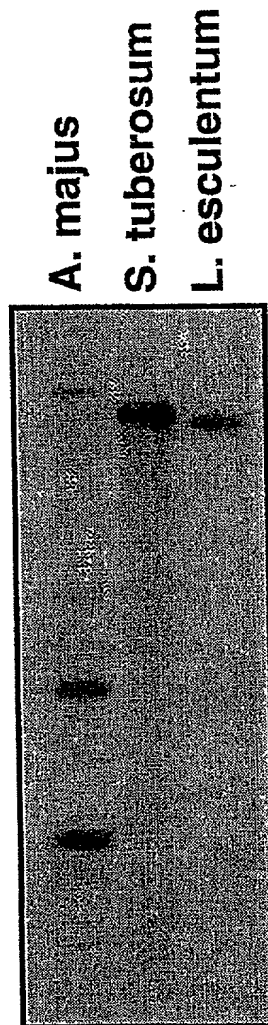


Fig. 3

4/10

**Fig. 4**

5/10

1 CCTCTGTCCTTCCCCCAGGTCCCTTTTTCCTTCTCTCTCTCTCTCTTATTTCTCTTT 60
 61 TCATAAGCATATTCTTTCTCTCTCTAGGGTTTTCACCTTTCACCTGAAATAGTGTGTAA 120
 121 ATTGAATGATATGTTAGGATCCTTTGGTTCCTCATCATCTCAATCTCACCTCATCATGA 180
 M L G S F G S S S S Q S H P H H D
 181 TGAAGAATCTTCTGATCATCATCAACAGCGTAGATTACCGCTACTGCTACAACATCAC 240
 E E S S D H H Q Q R R F T A T A T T I T
 241 CACCACCACCATCACTACCTCACCAGCTATTCAAATCCGCCAGCTACTCATTAGCTGTGC 300
 T T T I T T S P A I Q I R Q L L I S C A
 301 GGAGTTGATTTCCGAGTCCGATTTCTCGGCCGCGAAAAGACTCCTTACTATATTATCAAC 360
 E L I S Q S D F S A A K R L L T I L S T
 361 TAACTCATCTCTCTTTTGGTGAATCAACTGAACGGTTAGTCCATCAATTTACTCGCGCACT 420
 N S S P F G D S T E R L V H Q F T R A L
 421 TTCCCTTCGTCTCAACCGCTATATATCGTCAACCACCAATCATTTTCATGACACCTGTGA 480
 S L R L N R Y I S S T T N H F M T P V E
 481 AACAACTCCAAGTGAATCTTCTTCTCTGTCATCATTAGCTCTAATTCATCATCATATCT 540
 T T P T D S S S S S S L A L I Q S S Y L
 541 ATCTCTAAACCAAGTTACCCCTTTCATAAGGTTTACTCAATTAACCGCTAATCAAGCGAT 600
 S L N Q V T P F I R F T Q L T A N Q A I
 601 TTTAGAAGCGATTAAACGGTAATCATCAAGCAATCCACATCGTTGATTTTCGACATTAAATCA 660
 L E A I N G N H Q A I H I V D F D I N H
 661 CGGGGTTCAATGGCCACCGTTAATGCAAGCACTAGCTGATCGTTACCCCTGCTCCCACTCT 720
 G V Q W P P L M Q A L A D R Y P A P T L
 721 TCGAATCACCGGTACTGGAAATGACCTTGATACCTTTCGTAGAACAGGTGATCGTTTACG 780
 R I T G T G N D L D T L R R T G D R L A
 781 TAAATTTGCTCACTCATTAGGGTTGAGATTTCATTCATCCTCTTTTATATAGCCAATAA 840
 K F A H S L G L R F Q F H P L Y I A N N
 841 TAACCACGATCAGGATGAAGATCCTTCTATTATTTCCTCCATTGTACTACTCCCTGATGA 900
 N H D H D E D P S I I S S I V L L P D E
 901 AACCCTAGCTATCAACTGTGTTTTCTACCTCCACCGCCTTTTAAAGACCGCGAAAAGTT 960
 T L A I N C V F Y L H R L L K D R E K L
 961 AAGGATTTTTTGCATAGGGTTAAGTCAATGAACCCCTAAAAATTGTTACAATCGCGGAGAA 1020
 R I F L H R V K S M N P K I V T I A E K
 1021 GGAAGCAAATCATAACCATCCTCTTTTTTTACAAAGATTCATCGAGGCGTTGGATTATTA 1080

Fig. 5 contd.

005070" 092E0460

6/10

E A N H N H P L F L Q R F I E A L D Y Y
1081 TACAGCTGTGTTTGATTCACTGGAAGCTACATTGCCACCGGGTAGTCGAGAGAGGATGAC 1140
T A V F D S L E A T L P P G S R E R M T
1141 AGTTGAACAAGTGTGGTTTGGGAGAGAGATTGTTGATATCGTTGCCGATGGAAGGAGATAA 1200
V E Q V W F G R E I V D I V A M E G D K
1201 AAGGAAAGAAAGACATGAAAGGTTTAGATCATGGGAAGTTATGTTGAGGAGTTGTGGATT 1260
R K E R H E R F R S W E V M L R S C G F
1261 TAGTAATGTTGCTTTAAGCCCTTTTGCAATATCACAAGCTAAGCTTCTTTTGAGACTTCA 1320
S N V A L S P F A L S Q A K L L L R L H
1321 TTATCCTTCTGAAGGCTATCAACTCGGAGTTTCGAGTAATTCTTTCTTCTTAGGTTGGCA 1380
Y P S E G Y Q L G V S S N S F F L G W Q
1381 AAATCAACCCCTTTTCTCCATCTCGTCTTGGCGTTGAGAAAACTATCAAATAGCCAACT 1440
N Q P L F S I S S W R
1441 TCAGAGGGTAATTAAGACTACTGATAGTTTAGGAGGGATCTGAAGAAAACGCGTGGAGTG 1500
1501 AAAACCCTAAATAACCAGATTTTCTAATGAAGTTGTAGTAGTAGAAATTTGCATGGTGAA 1560
1561 GAACAATATTGAAGAGGTATTGAAATTTTCATGTTTTTTTGTGTTTACTTATTGATATGAA 1620
1621 TGTTTTTAAATTTTTTAACATAGAGGACTAGGTTGATGATATATAGTATTTAAGTTAACTA 1680
1681 GTCTTTGTATAACGCAAGATCTTGATCAACTTATTTTTTATTTTAAATTA 1729

Fig. 5

7/10

1 ATGTTAGGATCCTTTGGTTCTTCATCATCTCAATCTCACCTCATCATGATGAAGAATCT 60
 1 M L G S F G S S S S Q S H P H H D E E S 20
 61 TCTGATCATCATCAACGGCGTAGATTCACCGCTACTACTACAATATCACCACCACCACC 120
 21 S D H H Q R R R F T A T T T T I T T T T 40
 121 ACAACGACCTCACCAGCTATTCAAATCCGCCAGCTACTCATTAGCTGTGCGGAGTTGATT 180
 41 T T T S P A I Q I R Q L L I S C A E L I 60
 181 TCGCGGTCCGATTTCTCGGCCGCGAAAAGACTCCTTACCATATTATCAACTAACTCTTCT 240
 61 S R S D F S A A K R L L T I L S T N S S 80
 241 CCTTTTGGTGATTCAACTGAACGGTTAGTCCATCAGTTTACTCGCGCACTTTCCTTCGT 300
 81 P F G D S T E R L V H Q F T R A L S L R 100
 301 CTCAACCGCTATATATCGTCAACCACCAATCATTTTCATGACACCTGTTGAAACAACCTCCA 360
 101 L N R Y I S S T T N H F M T P V E T T P 120
 361 ACTGATTCTTCATCTTTCGTTGCCATCGTCATCATTAGCTCTAATTCAATCATCATATCAT 420
 121 T D S S S S L P S S S L A L I Q S S Y H 140
 421 TCTCTAAATCAAGTTACCCCTTTTATAAGGTTTACTCAATTAACCGCTAATCAAGCGATT 480
 141 S L N Q V T P F I R F T Q L T A N Q A I 160
 481 TTAGAAGCGATTAACGGTAATCATCAAGCAATCCACATCGTTGATTTTCGACATTAATCAC 540
 161 L E A I N G N H Q A I H I V D F D I N H 180
 541 GGGGTTCAATGGCCACCGTTAATGCAAGCACTAGCTGATCGTTACCCTGCTCCTACTCTT 600
 181 G V Q W P P L M Q A L A D R Y P A P T L 200
 601 CGAATCACCGGTACTGGAAATGACCTTGATACCCTTCGTAGAACAGGTGATCGTTTAGCT 660
 201 R I T G T G N D L D T L R R T G D R L A 220
 661 AAATTTGCTCACTCATTAGGGTTGAGATTTCAATTCATCCTCTTTATATCGCCAATAAT 720
 221 K F A H S L G L R F Q F H P L Y I A N N 240
 721 AACCGCGATCACGGTGAAGATCCTTCTATTATTTCCTCCATTGTACTTCTCCCTGATGAA 780
 241 N R D H G E D P S I I S S I V L L P D E 260
 781 ACCCTAGCTATCAACTGTGTTTTCTATCTCCACCGCCTTTTAAAAGACCGCGAAAAATTA 840
 261 T L A I N C V F Y L H R L L K D R E K L 280

Fig. 6 contd.

8/10

841 AGGATTTTTTTGCATAGGGTTAAGTCAATGAACCCCTAAAATTGTTACAATCGCGGAGAAG 900
281 R I F L H R V K S M N P K I V T I A E K 300
901 GAAGCAAATCATAACCATCCTCTTTTTTTACAAAGATTTATCGAGGCGTTGGATTATTAT 960
301 E A N H N H P L F L Q R F I E A L D Y Y 320
961 ACAGCTGTGTTTGATTTCATTGGAAGCTACATTGCCACCGGGTAGTCGTGAGAGGATGACA 1020
321 T A V F D S L E A T L P P G S R E R M T 340
1021 GTTGAACAAGTGTGGTTTGGGAGAGAAATTGTTGATATCGTGGCGATGGAAGGAGATAAA 1080
341 V E Q V W F G R E I V D I V A M E G D K 360
1081 AGGAAAGAAAGACATGAAAGGTTTAGATCATGGGAAGTTATGTTGAGGAGTTGTGGATTT 1140
361 R K E R H E R F R S W E V M L R S C G F 380
1141 AGTAATGTTGCTTTAAGCCCTTTTGCATTATCACAAGCTAAGCTTCTTTTGAGACTACAT 1200
381 S N V A L S P F A L S Q A K L L L R L H 400
1201 TATCCTTCTGAAGGCTATCAACTCGGAGTTTCGAGTAATTCTTTCTTCTTAGGTTGGCAA 1260
401 Y P S E G Y Q L G V S S N S F F L G W Q 420
1261 AATCAACCTCTTTTCTCCATCTCGTCTTGGCGTTGA 1296
421 N Q P L F S I S S W R * 432

Fig. 6

9/10

1 GAGAGGTCATCAAACCCTAGCAGTCCACCTCCATCTCTCCGCATAACCGGATGCGGTCTGA 60
 E R S S N P S S P P P S L R I T G C G R
 61 GATGTAACCGGATTAAACCGAACTGGAGACCGGTTAACCCGGTTCGCTGACTCTTTAGGT 120
 D V T G L N R T G D R L T R F A D S L G
 121 CTCCAATTCCAGTTTCACACGCTAGTGATCGTAGAAGAAGATCTCGCCGGACTTTTGCTA 180
 L Q F Q F H T L V I V E E D L A G L L L
 181 CAGATCCGATTGTTAGCTCTCTCAGCCGTACAAGGAGAGACCATTGCCGTCAATTGTGTT 240
 Q I R L L A L S A V Q G E T I A V N C V
 241 CACTTCCTCCACAAAATATTTAACGACGATGGAGATATGATCGGTCACTTCTTGTCAGCG 300
 H F L H K I F N D D G D M I G H F L S A
 301 ATCAAGAGCTTAAACTCTAGAATCGTTACAATGGCAGAGAGAGAAGCTAATCATGGAGAT 360
 I K S L N S R I V T M A E R E A N H G D
 361 CACTCGTTCTTGAATAGATTCTCTGAGGCAGTGGATCATTACATGGCGATCTTTGATTCG 420
 H S F L N R F S E A V D H Y M A I F D S
 421 TTGGAAGCGACGTTGCCGCCAAATAGCCGAGAGAGACTAACCCTAGAGCAACGGTGGTTC 480
 L E A T L P P N S R E R L T L E Q R W F
 481 GGTAAGGAGATTTTGGATGTTGTGGCGGCCGAAGAGACGGAGAGAAAAGCAAAGACATCGG 540
 G K E I L D V V A A E E T E R K Q R H R
 541 AGGTTTGAGATTTGGGAAGAGATGATGAAGAGGTTTGGTTTCGTTAACGTTTCCTATTGGA 600
 R F E I W E E M M K R F G F V N V P I G
 601 AGCTTTGCTTTGTCTCAAGCTAAGCTTCTTCTTAGACTTCATTATCCTTCAGAAGGTTAT 660
 S F A L S Q A K L L L R L H Y P S E G Y
 661 AATCTTCAGTTCCTTAACAATTCTTTG 687
 N L Q F L N N S L

Fig. 7

Q N Q P L F S I S S W R *
Q N Q P L F S I S S W R *

Fig. 8

Inventor: Nikolaus THERES

Title: PLANTS WITH CONTROLLED SIDE-SHOOT FORMATION AND/OR
CONTROLLED ABSCISSION ZONE FORMATION

POWER OF ATTORNEY

The specification of the above-identified patent application

☐ is attached hereto

☒ was filed on 15 April 1998 as application Serial No. Nationalized from PCT/DE98/01070

I hereby revoke all previously granted powers of attorney in the above-identified patent application and appoint the following attorneys to prosecute said patent application and to transact all business in the Patent and Trademark Office connected therewith:

J. Peter Fasse

Please address all correspondence and telephone calls to J. Peter Fasse in care of:

Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804
(617) 542-5070

The undersigned hereby authorizes the U.S. attorney named herein to accept and follow instructions from Dr. Volker Vossius Patentanwaltskanzlei · Rechtsanwaltskanzlei as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned.

N. Theres

Inventor: Nikolaus THERES

Date: 09/29/99

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)
Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing **OR** ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number

First Named Inventor

Nikolaus THERES

COMPLETE IF KNOWN

Application Number

/

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PLANTS WITH CONTROLLED SIDE-SHOOT FORMATION AND/OR
CONTROLLED ABSCISSION ZONE FORMATION

the specification of which

(Title of the Invention)

☐ is attached hereto
OR

☐ was filed on (MM/DD/YYYY) 04/15/1998 as United States Application Number or PCT International

Application Number PCT/DE98/01070 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
19715700.9	Germany (DE)	04/15/1997	<input type="checkbox"/>	YES <input type="checkbox"/>	NO <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → **+**

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☐ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label ☐ Correspondence address below

Name			
Address			
Address			
City	State	ZIP	
Country	Telephone	Fax	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))	Family Name or Surname
Nikolaus	THERES

Inventor's Signature	N. Theres		Date	09/29/99		
Residence: City	Pulheim	State	Country	Germany (DE)	Citizenship	DE
Post Office Address	Schiffgesweg 30, D-50259 Pulheim, Germany					
Post Office Address						
City	Pulheim	State	ZIP	50259	Country	Germany (DE)

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto